

# Euronext Securities Oslo - Fee Schedule

LAST UPDATED MARCH 10<sup>TH</sup> 2022



**EURONEXT SECURITIES**

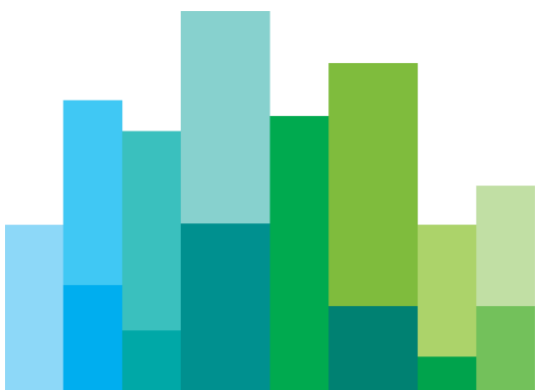
# Table of contents

<b>1. Equities and Equity Certificates</b> .....	<b>5</b>
<b>1.1 Registration and Administration</b> .....	<b>6</b>
1.1.1 Issuance of financial instruments .....	6
1.1.2 Ownership restriction.....	7
1.1.3 Conversions in/out of dual registered instruments .....	7
1.1.4 Cancellation of ISIN.....	7
1.1.5 Issuer Account Operator services .....	7
1.1.6 Fundamental data .....	8
1.1.7 Investor information.....	8
<b>1.2 Shareholder Register</b> .....	<b>8</b>
1.2.1 Register maintenance .....	8
<b>1.3 Corporate Actions</b> .....	<b>9</b>
1.3.1 Basic fee discount .....	9
1.3.2 Acquisition .....	9
1.3.3 Bonus issue .....	10
1.3.4 Capital repayment and liquidating dividend .....	10
1.3.5 Change of ISIN .....	10
1.3.6 Compulsory redemption .....	10
1.3.7 Dividend .....	11
1.3.8 Issuance and private placement.....	11
1.3.9 Merger / Demerger.....	12
1.3.10 Resale of securities.....	12
1.3.11 Split / Reverse split .....	13
1.3.12 ISO-messages .....	13
1.3.13 Additional Charges .....	13
<b>1.4 General Meetings</b> .....	<b>14</b>
<b>1.5 GF Custody</b> .....	<b>14</b>
<b>1.6 Employee Share Savings Programme</b> .....	<b>14</b>
1.6.1 Basic .....	14
1.6.2 Mini.....	15
<b>1.7 Investor Communication</b> .....	<b>15</b>
1.7.1 Reports sent from Issuer to its shareholders.....	15

<b>1.8 Various lists – Equities .....</b>	<b>15</b>
<b>2. Debt, Funds and ETPs .....</b>	<b>16</b>
<b>2.1 Registration and Administration .....</b>	<b>17</b>
2.1.1 Issuance of bonds and short term bonds .....	17
<b>2.2 Corporate Actions .....</b>	<b>18</b>
2.2.1 Additional services for fixed income securities.....	19
<b>2.3 Bondholder Meetings .....</b>	<b>20</b>
<b>2.4 Investor Communications.....</b>	<b>21</b>
<b>2.5 Establishment Fee Issuer Agent .....</b>	<b>21</b>
2.5.1 Monthly Fee Issuer Agent.....	21
<b>2.6 Warrants .....</b>	<b>21</b>
<b>2.7 Exercise of warrants and ETN .....</b>	<b>22</b>
<b>2.8 ISO Messages Corporate Actions (ISO 15022) .....</b>	<b>22</b>
<b>2.9 National Numbering Agency (NNA) .....</b>	<b>23</b>
<b>2.10 Miscellaneous Services .....</b>	<b>23</b>
<b>2.11 Printouts and dispatches .....</b>	<b>23</b>
<b>3. Safekeeping and Asset Servicing .....</b>	<b>24</b>
<b>3.1 Client and Account Administration.....</b>	<b>25</b>
3.1.1 Euronext Securities Investor Services .....	25
3.1.2 Services additional to Euronext Securities Investor Services .....	25
3.1.3 Euronext Securities accounts .....	26
3.1.4 Lists for account operators .....	27
3.1.5 Other.....	28
<b>3.2 Investor Portal .....</b>	<b>28</b>
3.2.1 Euronext Securities Client Services online .....	28
3.2.2 Client Services Online – Additional services .....	29
<b>3.3 Safekeeping.....</b>	<b>29</b>
<b>3.4 Investor Communication .....</b>	<b>31</b>
3.4.1 Investor messages .....	31
3.4.2 Investor statements .....	32
3.4.3 Annual statement, semiannual statement, and statement of realisations.....	32
3.4.4 Printouts and dispatches .....	32
<b>3.5 B2B Interface – ISO 20022.....</b>	<b>33</b>
<b>3.6 B2B Interface – ISO 15022.....</b>	<b>33</b>

<b>3.7 Miscellaneous Services .....</b>	<b>34</b>
3.7.1 Public entities .....	34
3.7.2 Special assignment –hourly fee.....	34
3.7.3 Printouts and dispatches .....	34
<b>4. Settlement.....</b>	<b>35</b>
<b>4.1 Settlement.....</b>	<b>36</b>
4.1.1 Establishment fee.....	36
4.1.2 Monthly fees.....	36
<b>4.2 Settlement Instructions.....</b>	<b>37</b>
4.2.1 Instructions fees .....	37
<b>4.3 Communication - ISO messages .....</b>	<b>39</b>
<b>4.4 Miscellaneous Services .....</b>	<b>41</b>
4.4.1 Special assignment – hourly fee.....	42
<b>5. Tax Services .....</b>	<b>43</b>
<b>5.1 Investor Services .....</b>	<b>44</b>
5.1.1 Services additional to Euronext Securities Investor Services .....	44
5.1.2 Securities accounts .....	44
5.1.3 Annual statement, semiannual statement, and statement of realisations .....	44
5.1.4 B2B Interface – ISO 20022 .....	45
<b>5.2 Issuance in Euronext Securities .....</b>	<b>45</b>
5.2.1 Reports and statistics .....	45
5.2.2 Tax reporting on behalf of issuers .....	45
<b>5.3 Corporate Actions .....</b>	<b>46</b>
5.3.1 Services to handle dividend from US sources .....	46

# 1. EQUITIES AND EQUITY CERTIFICATES



## 1.1 Registration and Administration

### 1.1.1 Issuance of financial instruments

The issuance fee applies to all equity instruments registered in Euronext Securities and is based on a combination of number of shareholders and the company's share capital. The fee is computed and charged monthly. The combined issuance fee will not be lower than the minimum fee and will not exceed the maximum fee.

Description	Item no.	Service charged	Price in NOK	VAT
Shareholder fee,	31200015	Per shareholder per month		
0 - 1 000 shareholders			1,05	-
1001 - 5 000 shareholders			0,95	-
5001 - 20 000 shareholders			0,85	-
20 001 - 50 000 shareholders			0,75	-
50 001 - 100 000 shareholders			0,53	-
> 100 000 shareholders			0,38	-
Share capital fee	31210025	Per million per month		
0 - 1 MNOK			10,62	-
1 - 10 MNOK			10,24	-
10 - 50 MNOK			9,79	-
50 - 100 MNOK			9,36	-
100 - 1 000 MNOK			8,92	-
> 1 000 MNOK			8,47	-
Minimum fee	31210010	Per ISIN per month	370,00	-
Maximum fee	31200020	Per shareholder per month	9,78	-

For Norwegian Depository Receipts (NDR) and/or equity instruments that are Secondarily Recorded through a CSD Link in Euronext Securities Oslo, the following fees will be charged in addition to the above-mentioned fees.

Description	Item no.	Service charged	Price in NOK	VAT
Listing status	31200001 31200004	Per ISIN per month		
Non-listed			5 000,00	-
Euronext NOTC			7 500,00	-
Euronext Growth Oslo			10 000,00	-
Euronext Expand Oslo			15 000,00	-
Euronext Main Market Oslo			20 000,00	-

Furthermore, for Secondarily Recorded equity instruments all costs incurred in the custody chain of the instrument are re-charged per ISIN without mark-up (31200002). Euronext Securities Oslo charges an hourly fee (31200003) for activities performed related to affiliation process of new Secondarily Recorded instruments.

### 1.1.2 Ownership restriction

Fee for equity instruments with registered information regarding ownership restrictions.

Description	Item no.	Service charged	Price in NOK	VAT
Monthly fee	73000300	Per ISIN per month	288,00	Yes

### 1.1.3 Conversions in/out of dual registered instruments

Fee for conversions in/out of dual registered equity instruments.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100840	Per assignment	103,53	-
Transaction cost	53100845	Per transaction	51,77	-

### 1.1.4 Cancellation of ISIN

Fees incurred when terminating ISIN codes for shares and equity certificates consists of a basic fee and a transaction fee. In case of bankruptcy the transaction fee will not exceed the set maximum price.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	23000100	Per assignment		
0 - 20 shareholders			1 655,00	-
21 - 100 shareholders			3 310,00	-
> 100 shareholders			6 615,00	-
Transaction fee, regular	53120050	Per transaction	12,80 + postage	-
Transaction fee, bankruptcy	53120100	Per transaction	6,21 + postage	-
			(max. 30 600,00)	

### 1.1.5 Issuer Account Operator services

All Issuer Account Operators, Managers, and Lead Managers are charged an establishment fee and a monthly fee to have access to Euronext Securities' systems.

Description	Item no.	Service charged	Price in NOK	VAT
Establishment fee	23000010	Per reg. no.		
Issuer Account Operator			121 800,00	-
Manager / Lead Manager			60 900,00	-
Monthly fee	53100500	Per reg. no.		
Issuer Account Operator			10 000,00	-
Manager / Lead Manager			5 000,00	-

### 1.1.6 Fundamental data

Various information related to equity instruments registered in Euronext Securities.

Description	Item no.	Service charged	Price in NOK	VAT
Weekly list of periodical events (AE30L01)	63200010	Per list	624,00	Yes
ISIN Feed (ISO15022 / MT598)	61300410	Per month	1 070,00	Yes
Statistic subscription for shares and ECs	61310060	Per month	602,00	Yes

### 1.1.7 Investor information

Distribution of information to investors enclosed with e.g. notification of change or annual tax statement.

Description	Item no.	Service charged	Price in NOK	VAT
Distribution of investor information	63220010	Per notice	2,67 + postage	Yes

## 1.2 Shareholder Register

### 1.2.1 Register maintenance

Euronext Securities offer to maintain the shareholder register per ISIN for all limited and public limited companies recorded in the CSD. The service is invoiced monthly and charged based on activity level, with a minimum and maximum fee

Description	Item no.	Service charged	Price in NOK	VAT
Shareholder register updates	33000500	Per change		
1 - 10 changes			6,29	-
11 - 100 changes			4,19	-
101 - 3 000 changes			1,99	-
3 001 - 5 000 changes			1,05	-
5 001 - 10 000 changes			0,53	-
> 10 000 changes			0,11	-



Minimum fee	33000510	Per month	32,00	-
Maximum fee	33000520	Per month	12 600,00	-

## 1.3 Corporate Actions

### 1.3.1 Basic fee discount

A fee reduction of 50% applies to Basic fees for the following Corporate Actions when the number of participating securities accounts is below 100. The fee reduction does not apply to billing item 53130100 and 53100140.

Acquisition, Bonus issue, Capital repayment and liquidating dividend, Compulsory redemption, Merger/Demerger, Split/Reverse split, Change of ISIN, Resale of securities,

Unit fee discounts: The following discounts apply to fees for the following Corporate Actions:

Bonus issue, Capital repayment and liquidating dividend, Compulsory redemption, Merger/Demerger, Split/Reverse split, Change of ISIN.

Up to 500 accounts the stated fee applies	
501 - 5.000 accounts	10% discount
5.001 - 10.000 accounts	20% discount
> 10.000 accounts	30% discount

### 1.3.2 Acquisition

Euronext Securities offers three types of acquisitions in connection with acquisition of companies: standard acquisition, acquisition with partial security interest and acquisition with duplicate accounts. In the case of partial security interest or duplicate accounts, accepted holdings are blocked by a security interest in favor of the offer or until the acquisition is completed.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100170	Per assignment	41 400,00	-
Basic fee (1/2)	53100171	Per assignment	20 700,00	-
Acceptance fee:				
Standard acquisition	53100190	Per acceptance	97,00 + postage	-
Acquisition w/partial security interest	53100195	Per acceptance	200,00 + postage	-
Acquisition w/duplicate accounts	53100180	Per acceptance	200,00 + postage	-

Acquisition of small shareholdings (Less than 500 NOK)	53100200	Per acceptance	96,43 + postage	-
--	----------	----------------	-----------------	---

### 1.3.3 Bonus issue

This service includes bonus issues where existing shareholders are allotted new shares without payment. The shareholders receive new shares in the same ISIN, alternatively in another ISIN.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100070	Per assignment	15 225,00	-
Basic fee (1/2)	53100071	Per assignment	7 612,50	-
Unit fee	53100080	Per interchanged account	20,30 + postage	-

### 1.3.4 Capital repayment and liquidating dividend

This service is utilized when companies repay share capital, share premium, paid capital or liquidating dividend. The service calculates entitlements and ensures timely payment.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53150010	Per assignment	15 225,00	-
Basic fee (1/2)	53150011	Per assignment	7 612,50	-
Unit fee	53150020	Per account per payment	10,15	-
Payment processing fee	53130100	Per NOK 100 000	1,31	-

### 1.3.5 Change of ISIN

This service is utilized for change of ISIN and subsequent transfer of shares.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100150	Per assignment	15 500,00	-
Basic fee (1/2)	53100151	Per assignment	7 750,00	-
Unit fee	53100160	Per account with new ISIN	10,35 + postage	-

### 1.3.6 Compulsory redemption

Euronext Securities offers two alternative compulsory redemptions: (1) Compulsory redemption of minority shareholders, and (2) compulsory acquisition of small shareholdings and redemption of warrants. Alternative 1: To implement a compulsory redemption of minority shareholders one must hold at least 90% of the shares. Alternative 2: A company can require minority shareholders to sell their shares where each such shareholder holds shares in the company to a value not exceeding NOK 500.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100110	Per assignment	41 400,00	-
Basic fee (1/2)	53100111	Per assignment	20 700,00	-
Unit fee for redemption of minority shareholders	53100120	Per redeemed holding	98,36 + postage	-
Unit fee for redemption of small shareholdings	53100130	Per redeemed holding	62,93 + postage	-
Basic fee	53100110	Per assignment	41 400,00	-
Basic fee (1/2)	53100111	Per assignment	20 700,00	-
Unit fee for redemption of minority shareholders	53100120	Per redeemed holding	98,36 + postage	-
Unit fee for redemption of small shareholdings	53100130	Per redeemed holding	62,93 + postage	-

### 1.3.7 Dividend

This service calculates and transmits dividend payments. The service also includes calculation and withholding of tax deducted at source, as well as tax reporting. The fee of dividend distribution is discounted if multiple dividends are distributed within the same calendar year.

Description	Item no.	Service charged	Price in NOK	VAT
1st dividend distribution/year	53130500	Per account per payment	5,59 + postage	-
2nd dividend distribution/year	53130505	Per account per payment	5,02 + postage	-
3rd dividend distribution/year	53130510	Per account per payment	4,45 + postage	-
nth dividend distribution/year	53130515	Per account per payment	3,94 + postage	-
Payment processing fee	53130100	Per NOK 100 000	1,31	-

### 1.3.8 Issuance and private placement

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100600	1 basis point of transaction value in NOK <sup>1</sup>	Min. 100,00	-
	53100620		Max. 300 000,00	
	53100730			
Transaction fee	53100610 53100630	Per transaction	51,77 + postage	-

<sup>1</sup> ETF's are invoiced a fixed basic fee of 100 NOK.

Description	Item no.	Service charged	Price in NOK	VAT
	53100740			
Issuance of subscription rights	53100660	Per account	5,18	-
	53100770			
Based on historic share register (older than 3 days)	53100670	Per account	10,35	-
	53100780			
Subscription via Euronext Securities Subscription Client	53100640	Per subscription	41,40	-
	53100750			
Payment via Euronext Securities	53100650	Per account	25,90	-
	53100760			

### 1.3.9 Merger / Demerger

A merger is used where a company acquires another company. The shareholders of the acquired company are allocated the correct number of shares in the acquiring company. Holdings in the acquired company are simultaneously redeemed. Pre-merger information on the acquired company remains on file at Euronext Securities. A demerger is utilized where a company is divided into two or more companies. The company's shareholders are allotted shares in the new company in addition to the shares they already own.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100090	Per assignment	31 100,00	-
	53100050			
Basic fee (1/2)	53100091	Per assignment	15 550,00	-
	53100051			
Unit fee	53100100	Per account	36,00 + postage	-
	53100060			

### 1.3.10 Resale of securities

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee (with payment)	53100250	Per assignment	32 610,00	-
	53100290			
Basic fee (1/2) (with payment)	53100251	Per assignment	16 305,00	-
	53100291			
Basic fee (w/o payment)	53100270	Per assignment	16 300,00	-
	53100310			
Basic fee (1/2) (w/o payment)	53100271	Per assignment	8 150,00	-
	53100311			
Transaction price	53100680	Per transaction	51,77 + postage	-
	53100790			
Subscription via Euronext Securities Subscription Client	53100690	Per subscription	41,40	-
	53100800			
Payment via Euronext Securities	53100700	Per account	25,90	-
	53100810			

Issuance of subscription rights	53100710	Per account	5,18	-
Issuance of subscription rights based on share register older than three days	53100720	Per account	10,35	-

### 1.3.11 Split / Reverse split

A split is utilized where the face value of a share is reduced, and the number of shares increased. Share allotment is included in the service. A reverse split is utilized where the face value of a share is increased, and the number of shares reduced.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53100210 53100230	Per assignment	15 530,00	-
Basic fee (1/2)	53100211 53100231	Per assignment	7 765,00	-
Unit fee, Split	53100220	Per split account	10,18 + postage	-
Unit fee, Reverse split	53100235	Per reverse-split account	20,71 + postage	-

### 1.3.12 ISO-messages

Description	Item no.	Service charged	Price in NOK	VAT
MT564 Notification	42201500	Per account per ISIN	1,29	-

### 1.3.13 Additional Charges

Description	Item no.	Service charged	Price in NOK	VAT
Fee for extra money transfer	53110010	Per charge per ISIN	5 330,00	-
Calculation and distribution of additional money transfers per assignment	53110015	Per account	10,45	-
<b>Calculation of commissions</b> Service for calculating commissions on issues/resales	53110040	Per order	5.075,00	
<b>Additional fee for any extra ISIN in connection with acquisition</b> When there is a need for an additional ISIN within the same acquisition	53110020	Per ISIN	5.330,00	
Additional fee for any extra subscription ISIN in connection with issues and resales	53110030	Per ISIN	5 330,00	-

## 1.4 General Meetings

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	53130030	Per meeting	4 600,00	Yes
Unit fee	53130040	Per shareholder	2,65	Yes
Dispatch of notice and attachments	64320500	Per shareholder	2,75	-
Advance votes (electronically via Euronext Securities)	53130055	Per vote	5,50	Yes
Voting instructions (via Issuer Agent)	53130050	Per instruction	22,00	Yes
Webcast (distribution of webcast via Euronext Securities Client Services)	53130060	Per assignment	507,50	Yes
Issuer Agent subscription fee	53130025	Per month	4 500,00	-

## 1.5 GF Custody

A service for account operators keeping shares on nominee accounts for foreign investor who wish to exercise their voting right at general meetings. The service includes mass registration of accounts, transfer of holdings and registration of voting instructions.

Description	Item no.	Service charged	Price in NOK	VAT
Re-registration – GF	53130075	Per re-registration or transfer	Free of charge	

## 1.6 Employee Share Savings Programme

### 1.6.1 Basic

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee*	33000210	Per year		
1 - 500 participating employees			11 000,00	-
500 - 2500 participating employees			33 000,00	-
> 2500 participating employees			55 000,00	-
Unit fee per transaction (per year)**	43000210	Per transaction		
< 2 500			32,95	-
2 501 - 5 000			27,46	-
5 001 - 10 000			21,97	-
10 001 - 20 000			17,60	-
20 001 - 30 000			15,36	-
30 001 - 50 000			14,29	-
50 001 - 100 000			13,17	-

100 001 - 150 000			9,92	-
>150 000			6,61	-
Employee Share Savings with limited rights	31230012	Per transaction	16,50	.
Bonus program	33000230	Per year	16 500,00	-
Web service module	33000220	Per year	16 500,00	-
Assistance with uploading data	33000250	Per assignment	5 500,00	-

\* In case of multiple savings within same calendar year, the highest number of participating employees will be taken into account  
\*\* To calculate the unit price for monthly savings, multiply the number of transactions for the month in question with 12.

## 1.6.2 Mini

This service can only be used for share savings programmes carried out only once a year, that do not include bonus shares (service no. 33000230) and the registration data is uploaded to the system. Share Savings with limited rights (service no. 31230012) may be used.

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee	33000210	Per year	5 250,00	-
Unit fee	43000210	Per transaction	90,00	-

## 1.7 Investor Communication

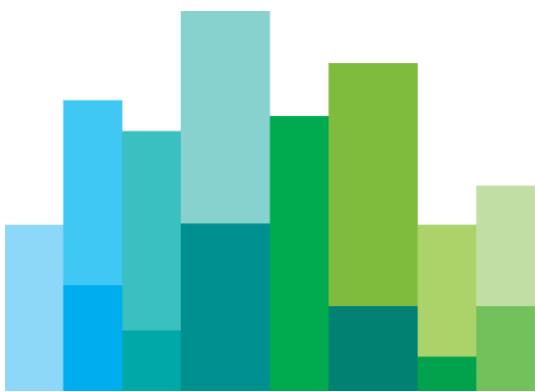
### 1.7.1 Reports sent from Issuer to its shareholders

Description	Item no.	Service charged	Price in NOK	VAT
Dispatch of pdf attachments	64320510	Per notice	3,30	Yes

## 1.8 Various lists – Equities

Description	Item no.	Service charged	Price in NOK	VAT
Shares – weekly list of periodical events – AE30L01	63200010	Per list	624,00	Yes
Shares and ECs – statistic subscription	61310060	Per month	602,00	Yes

# 2. DEBT, FUNDS AND ETPs





## 2.1 Registration and Administration

Description	Item no.	Service charged	Price in NOK	VAT
Holders register, bonds Fee based on the number of bond holders Fee categories: <ul style="list-style-type: none"> <li>1 - 1.000</li> <li>1.001 - 5.000</li> <li>5.001 - 20.000</li> <li>20.001 - 100.000</li> <li>100.001 - 200.000</li> <li>&gt; 200.000</li> </ul>	31200016	Per holding per month.	1,01 0,91 0,82 0,73 0,64 0,56	-
Issuer's account, bonds and short term bonds	31210030	Per million per month	0,92	-
Maximum fee Issuer's account, bonds and short term bonds Monthly fee calculated per million NOK of the capital registered at Euronext Securities for the bond issue.	31210035	Per month	25.000,00	-
ISIN-fee, bonds and short term bonds Fee per registered bond issue	31200025	Per ISIN per month.	206,00	-
Put / call option Fee per parameter	53140710	Per month.	88,00	-

### 2.1.1 Issuance of bonds and short term bonds

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee - Issuance of bonds	53100850	0,2 basis points of the nominal value		-
Basic fee - Short term bonds	53100860	in NOK		-
		Minimum NOK 1.000,00 and Maximum NOK 10.000,00		-
<b>Discounts for short term bonds:</b>				

Description	Item no.	Service charged	Price in NOK	VAT
For short term bonds with maturity 200 days or less, the following discounts is given. Up to 100 days from 101 days until 200 days		35% discount 15% discount		
<b>Transaction costs issuance</b>	53100830	Per transaction	51,77 + postage	

## 2.2 Corporate Actions

The following fee reductions apply to the services below (marked with \*) when the number of accounts exceed 10.000:

25% reduction for any number of accounts between 10.001 and 30.000.

50% reduction for any number of accounts in excess of 30.000

Description	Item no.	Service charged	Price in NOK	VAT
*Bonds, calculation and payment of interest Fee applies to interest payable per securities account containing stock on interest payment date	53140060	Per account per occasion	2,23 + postage	-
*Bonds/short term bonds, redemption	53140510	Per transaction	12,80	-
Extension of bond duration	53140520	Per assignment	6.400,00	-
Bonds, drawing- /closing fee	53140010	Per NOK 1.000 as basis for draw	0,02	-
Bonds, drawing- /closing fee	53140020	Maximum fee	304.500,00	-

Fee for calculation and drawing (put/call) in connection with corporate actions, and at maturity. The fee is calculated per NOK 1.000 included in the drawing, or per NOK 1.000 residual value to be repaid on bonds.

Description	Item no.	Service charged	Price in NOK	VAT
<b>Basic fee, payments</b> The fee for generation/execution of payment instructions sent to NETS.	53130100	Per NOK 100.000	1,31	-
<b>Payment of defaulted bond</b>	53140590	Per defaulted payment	18.000,00	-
<b>Bonds, notice of draw to investors</b>	63220200	Per account drawn	8,32 + postage	

Description	Item no.	Service charged	Price in NOK	VAT
The fee covers all messages to bond holders related to drawings, interest rate adjustment and requests for redemption of a bond at the next payment date				-

## 2.2.1 Additional services for fixed income securities

Description	Item no.	Service charged	Price in NOK	VAT
<b>Unit fee, payments</b> Fee calculated per payment or receipt transmitted to NETS for processing a payment.	53130200	Per basis for payments	5,75	-
<b>New interest rate calculation</b> Correction of an interest rate	53140100	Per new calculation	2.666,00	-
<b>Basic fee, payments</b> The fee for generation/execution of payment instructions sent to NETS.	53130100	Per NOK 100.000	1,31	-
<b>Payment of defaulted bond</b>	53140590	Per defaulted payment	18.000,00	-
<b>Bonds, notice of draw to investors</b> The fee covers all messages to bondholders related to drawings, interest rate adjustment and requests for redemption of a bond at the next payment date	63220200	Per account drawn	8,32 + postage	-
<b>New interest rate calculation</b> Correction of an interest rate	53140100	Per new calculation	2.666,00	-
<b>Direct remittance, lack of agreement</b> Applies to rejections of payment order by NETS due to no agreement on direct remittance	53120970	Per occasion	5.075,00	-

Description	Item no.	Service charged	Price in NOK	VAT
<b>Payment transmission, data to debiting bank</b> List to debiting banks showing scheduled payments for the next 5 days	63200020	Per list per day	37,32	-
<b>Stop of payment order</b> Stop of payment order prior to 10:00 on payment date-1	53130910	Per occasion	3.200,00	-
<b>Stop of payment order, late notice</b> Stop of payment order when payment has entered Euronext Securities payment systems (after 10:00 on payment date-1)	53130900	Per occasion	35.000,00	-
<b>Basic fee, special assignments</b> Charged at hourly rate in addition to the basic fee	73000900	Per assignment	By agreement	-

## 2.3 Bondholder Meetings

Description	Item no.	Service charged	Price in NOK	VAT
<b>Bond holder meeting</b>				
Invitation to bond holder meeting	63220350	Per dispatch	5.000,00	-
1 - 10 pages	63220355	Per page	3,20 + postage	-
11 - 50 pages	63220356	Per page	2,77 + postage	-
> 50 pages	63220357	Per page	2,69 + postage	-
Bond holder meeting, monthly fee	33000320		Free of charge	
Bond holder meeting, basic fee	53140650		10.000,00	Yes
Bond holder meeting, unit fee	53140660		10,00	Yes

## 2.4 Investor Communications

Description	Item no.	Service charged	Price in NOK	VAT
<b>Investor information including attachments</b>	63220120	Per dispatch	2.100,00	-
Investor information sent as PDF by e-mail	63220130	Per page	2,66	-
1 - 20 pages	63220131	Per page	3,19 + postage	-
21 - 100 pages	63220132	Per page	2,77 + postage	-
> 100 pages	63220133	Per page	2,61 + postage	-
<b>Information to investors</b>				
Generated by issuer agent or trustee	64320520	Per notice	9,90 + postage	-
<b>Print and enveloping</b>	69020320	Per notice	3,37	-

## 2.5 Establishment Fee Issuer Agent

Description	Item no.	Service charged	Price in NOK	VAT
Establishment fee as issuer agent for fixed income instruments	23000020	Per establishment	121.800,00	-

### 2.5.1 Monthly Fee Issuer Agent

Description	Item no.	Service charged	Price in NOK	VAT
Monthly fee	33000300	Per month	5.465,00	-

## 2.6 Warrants

Description	Item no.	Service charged	Price in NOK	VAT
Registration fee warrant and ETN	31210050	Per ISIN at first time issue	460,00	-

Description	Item no.	Service charged	Price in NOK	VAT
Activity fee warrant and ETN		Per ISIN per month. Based on the average number of daily transactions in the issuer's total warrants and ETNs		
1 - 50 trades	31210060		21,00	-
51-125 trades	31210070		17,00	-
126-200 trades	31210080		13,00	-
> 200 trades	31210090		9,00	-
Basic fee for payment	53130100	Per NOK 100.000,00	1,31	-

## 2.7 Exercise of warrants and ETN

Description	Item no.	Service charged	Price in NOK	VAT
Basic fee for redemption of warrants and ETN with associated payment	53100140	Per assignment	1.560,00	-
If there are no associated payments with the redemption, this service will not be invoiced				
Redemption of warrants and ETN, unit fee	53100148	Per redeemed holding	10,35 + postage	-
Basic fee for payment	53130100	Per NOK 100.000,00	1,31	-

## 2.8 ISO Messages Corporate Actions (ISO 15022)

Description	Item no.	Service charged	Price in NOK	VAT
MT566 Confirmation	42201510	Per account per ISIN	1,60	-

## 2.9 National Numbering Agency (NNA)

Description	Item no.	Service charged	Price in NOK	VAT
ISIN allocation	53100400	Per allocation per ISIN	8,95	-
		Establishment fee	By agreement	
ISIN update	53100420	Per update per ISIN	2,40	-
ISIN view (get)	53100410	Per view per ISIN	1,50	-

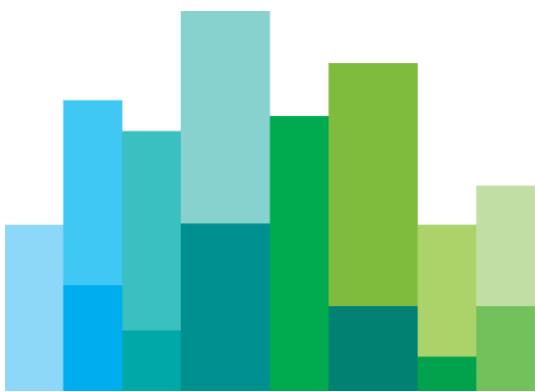
## 2.10 Miscellaneous Services

Description	Item no.	Service charged	Price in NOK	VAT
<b>Repeat dispatch of lists</b>	69030010	Lists per reg.no per day	609,00	Yes
Extraction of previously dispatched standardised lists up to 3 days old				
<b>Recreation of lists</b>	69030020	Lists per reg.no per day	1.218,00	Yes
Recreation of previously dispatched standardised lists older than 3 days (up to 3 months old.				
<b>Account enquiries</b>	61320500	Per enquiry	950,00	Yes
Account information provided in connection with winding up, compulsory liquidation, execution, bankruptcy estates, etc.				
Bonds – weekly list of periodical events – FK30L01	63200015	Per list	624,00	Yes
Bonds – statistics subscription – AE30L01	61310010	Per month	602,49	Yes

## 2.11 Printouts and dispatches

Description	Item no.	Service charged	Price in NOK	VAT
<b>Dispatch of pdf attachments</b>	64320510	Per notice	3,20	Yes
<b>Print and enveloping</b>	69020320	Per notice	3,37	-

# 3. SAFEKEEPING AND ASSET SERVICING





## 3.1 Client and Account Administration

### 3.1.1 Euronext Securities Investor Services

Description	Item no.	Service charged	Price in NOK	VAT
Establishment fee, account operators (AO)	21000500	Per establishment per AO	121.800,00	-

Establishment fee for account operators and access to Euronext Securities Investor Services basic. An account operator can open and maintain securities accounts.

Euronext Securities Investor Services – Monthly fee	31100200	Per month	3.000,00	-
---	----------	-----------	----------	---

### 3.1.2 Services additional to Euronext Securities Investor Services

#### FAKTBasis

Service that creates the basis for calculating the price to investor

Description	Item no.	Service charged	Price in NOK	VAT
Establishment fee	21000800	Per establishment	10.150,00	Yes
Subscription	61300970	Per month	507,50	Yes
Per transaction	61300950	Per transaction	2,23	Yes

#### Reports Investor Services

A service allowing account operators to download predefined reports, i.e. customers' holdings in a specific ISIN

Description	Item no.	Service charged	Price in NOK	VAT
Subscription per contract holder	61310070			
1 - 5.000 accounts		Per month	507,50	Yes
5.001 - 15.000 accounts		Per month	1.522,50	Yes
>15.000 accounts		Per month	2.537,50	Yes

### 3.1.3 Euronext Securities accounts

Description	Item no.	Service charged	Price in NOK	VAT
Securities account	31100500	Per account per month	2,20	-
Nominee account	31100501	Per account per month	10,00	-
Minimum fee per account operator	31100510	Per month	5.000,00	-

The monthly fee for holding a securities account is charged to the account operator. Closed accounts will be charged throughout the calendar year. Includes Euronext Securities Client Services, see section 3.2.

Description	Item no.	Service charged	Price in NOK	VAT
Mutual Fund Account	31100502	Per month	See table	-

The Mutual Fund Account includes the securities account, electronic notification of change and electronic annual statement. The fee is calculated based on the total value of assets for all relevant securities accounts.

Total value from – to	Price in NOK	Accumulated price
0 – 49 999 999 NOK	2.083,00	2.083,00
50 000 000 – 99 999 999 NOK	1.979,00	4.063,00
100 000 000 – 199 999 999 NOK	3.750,00	7.813,00
200 000 000 – 299 999 999 NOK	3.542,00	11.354,00
300 000 000 – 399 999 999 NOK	3.333,00	14.688,00
400 000 000 – 499 999 999 NOK	3.125,00	17.813,00
500 000 000 – 599 999 999 NOK	2.917,00	20.729,00
600 000 000 – 699 999 999 NOK	2.708,00	23.438,00
700 000 000 – 799 999 999 NOK	2.500,00	25.938,00
800 000 000 – 899 999 999 NOK	2.292,00	28.229,00
900 000 000 – 999 999 999 NOK	2.083,00	30.313,00

Description	Item no.	Service charged	Price in NOK	VAT
<b>Limited rights</b> Fee for registering or changing a limited right. Charged to account operator.	31230010	Per transaction	25,38 + postage	-
<b>Right of disposal / Right of notification</b> Fee for registering or changing right of disposal or right of notification. Charged to account	31230011	Per transaction	25,38 + postage	-

Description	Item no.	Service charged	Price in NOK	VAT
operator.				
<b>Register a proxy</b> Fee for registering or changing an authorization. Charged to account operator.	31230015	Per transaction	25,38 + postage	-
<b>Real-time account transfers</b> Transferring a holding from one account to another account.	42200050	Per transfer	15,00 + postage	-
<b>Reversal of an account transfer</b> A change in a holding may be reversed under certain conditions.	42200055	Per reversal	15,00 + postage	-
<b>Release of a holding</b> Releasing a holding to be traded by a third party.	31230020	Per release	7,50	-
<b>Automatic termination of securities accounts</b> Closed accounts will be charged throughout the calendar year.	31100600	Per account	2,54 + postage	-

### 3.1.4 Lists for account operators

Rates are calculated according to the number of register numbers collected in the same list. The reg. number receiving the list is charged.

- 1 – 5 reg.no; price \* 1
- 6 – 25 reg.no; price \* 1,5
- 26 or more reg.no; price \*2

Description	Item no.	Service charged	Price in NOK	VAT
AU02L01 – Overview of dividends calculated	61300510	Per produced list	106,58	-
AU08L01 – Overview of dividends distributed	61300515	Per produced list	106,58	-
DK15L06 – Account journal – account changes	61300520	Per produced list	106,58	-
DK25L05 – Updating of holding	61300530	Per produced list	106,58	-

DK25L06 – Updating of holding	61300535	Per produced list	106,58	-
OB10L02 – Notification of payment of interest/draw	61300555	Per produced list	106,58	-
KS04L03 – Credit guarantee account and holding	61300560	Per produced list	228,38	-
KS04L01 – Credit guarantee account and holding	61300565	Per produced list	228,38	-
DK16L01 – Monthly entry list	61300830	Per produced list	50,75	-

### 3.1.5 Other

Description	Item no.	Service charged	Price in NOK	VAT
<b>Lists, archive</b>	61300505	Per list per reg.no	5,08	-
<b>Additional fee per year beyond the first year</b>	61300505	Per list per reg.no	1,02	-
Rates calculated to the number of register numbers collected in the same list:				
2- 5 reg.no. multiply rate with 2				
6-25 reg.no. multiply rate with 3				
25+ reg.no. multiply rate with 6				

## 3.2 Investor Portal

### 3.2.1 Euronext Securities Client Services online

Euronext Securities Client Services online comprise of a basic service and additional services. The basic service enables investors to view their Euronext Securities accounts across account operators via the internet. It gives investors a complete overview of their Euronext Securities registered securities holdings.

Description	Item no.	Service charged	Price in NOK	VAT
BankID authentication	61320550	Per authentication	2,30	-

### 3.2.2 Client Services Online – Additional services

Description	Item no.	Service charged	Price in NOK	VAT
Real time account transfers via Euronext Securities Client Services Online	42200015	Per transaction	27,41 + postage	-
Account establishment online	31100080	Per establishment	By agreement	
Establishment fee	31100080	Per month	761,25	-
Monthly fee (expiring service)	31100100	Per signature	25,38	-
Electronic signature BankID	31100105	Per month	3.000,00	-
Single sign-on	21000710	Per establishment	30.450,00	Yes
Single sign-on , monthly fee	31100090	Per month	507,50	Yes
Fund trading	44200020	Per establishment	By agreement	
		Per transaction	6,00	-
<b>Style sheet</b>				
Custom design and logo	21000050	Per establishment	15.000,00	Yes
Monthly fee	31100070	Per month	1.500,00	Yes
Additional fee for adjustments. Charged at a fixed rate or by the hour.				

## 3.3 Safekeeping

The safekeeping fee is based on total value of holdings per participant ID (Avtalehaver) and is charged in basis points from NOK 0 according to a decreasing sliding scale model, per asset class:

- Equities (equity and equity certificates)
- Fixed income (bonds and short term bonds)
- Investment funds (fund units and ETFs)
- Structured products (warrants)

The tables per asset class list the annual fees in basis points.

The safekeeping fee is invoiced monthly at 1/12 of the annual fee, based on the total holdings value per asset class per month, computed as the total of the monthly averages of daily holdings values.

Description	Item no.	Service charged	Price in NOK	VAT
Safekeeping fee – Equities	31110020	Basis points per year:		
0 - 750 mill. NOK		0,40		-
750 mill. - 5 billion NOK		0,30		-
5 billion - 50 billion NOK		0,25		-
50 billion - 100 billion NOK		0,20		-
100 billion - 500 billion NOK		0,15		-
> 500 billion NOK		0,10		-

Description	Item no.	Service charged	Price in NOK	VAT
Safekeeping fee – Fixed income	31110021	Basis points per year:		
0 - 750 mill. NOK		0,40		-
750 mill. - 10 billion NOK		0,30		-
10 billion - 50 billion NOK		0,25		-
50 billion - 100 billion NOK		0,20		-
100 billion - 500 billion NOK		0,12		-
> 500 billion NOK		0,05		-

Description	Item no.	Service charged	Price in NOK	VAT
Safekeeping fee – Funds	31110022	Basis points per year:		
0 - 100 mill. NOK		0,50		-
100 mill. - 1 billion NOK		0,40		-
1 billion - 10 billion NOK		0,30		-
10 billion - 50 billion NOK		0,20		-
50 billion - 150 billion NOK		0,10		-
> 150 billion NOK		0,05		-

Description	Item no.	Service charged	Price in NOK	VAT
Safekeeping fee – Structured products	31110023	Basis points per year:		
0 - 750 mill. NOK		0,50		-
750 mill. - 5 billion NOK		0,40		-
5 billion - 50 billion NOK		0,30		-
50 billion - 100 billion NOK		0,20		-
100 billion - 500 billion NOK		0,10		-
> 500 billion NOK		0,05		-

## 3.4 Investor Communication

### 3.4.1 Investor messages

#### Electronic statements to investor

Description	Item no.	Service charged	Price in NOK	VAT
Electronic notifications to investors	61320010	Per notice	2,23	-

Fee per notification to investor, e.g. notification of change and disbursement notification. Notifications are archived in Euronext Securities Client Services Online and a notice is sent to the investor by e-mail.

#### Electronic statements to holders of rights

Description	Item no.	Service charged	Price in NOK	VAT
Electronic copy of notification to holders of rights	61320016	Per statement	2,90	-

#### Paper statement to investor

Description	Item no.	Service charged	Price in NOK	VAT
Notification of change	61320020	Per statement	12,50 + postage	-
Notification of payment	63220150	Per statement	12,50 + postage	-

#### Paper statement to holders of rights

Description	Item no.	Service charged	Price in NOK	VAT
Notification of change	61320030	Per statement	12,50 + postage	-
Notification of payment	63220160	Per statement	12,50 + postage	-

#### Other service

Description	Item no.	Service charged	Price in NOK	VAT
Paper copy of notices to investor ordered through Euronext Securities Client Services	61300600	Per statement	345,10	Yes

### 3.4.2 Investor statements

Service where account operator can access notifications of change, annual statements, and other messages sent to investors.

Description	Item no.	Service charged	Price in NOK	VAT
1 - 5.000 accounts	63220015	Per month	1.015,00	Yes
5.001 - 15.000 accounts		Per month	3.045,00	Yes
> 15.000 accounts		Per month	5.075,00	Yes

### 3.4.3 Annual statement, semiannual statement, and statement of realisations

The prices include production of the statements, distribution and filing in an electronic archive.

#### Annual statement

Description	Item no.	Service charged	Price in NOK	VAT
Annual statements (electronic)	61320040	Per statement	4,06	-
, holders of rights	61320060			
, rights of disposal	61320070			
, IPA	61320150			
, IBP	61320170			
Annual statements (paper)	61300610	Per statement	25,00 + postage	-
, holders of rights	61320080			
, rights of disposal	61300620			
, IPA	61320160			
, IBP	61320180			

#### Semiannual statements

Description	Item no.	Service charged	Price in NOK	VAT
Semiannual statements ETF (electronic)	61320110	Per statement	2,23	-
Semiannual statements ETF (paper)	61320120	Per statement	19,00 + postage	-

### 3.4.4 Printouts and dispatches

Description	Item no.	Service charged	Price in NOK	VAT
Information to investors Distribution of information to	63220010	Per notice	2,67 + postage	-



Description	Item no.	Service charged	Price in NOK	VAT
investors enclosed with e.g. notification of change or annual tax statement.				

## 3.5 B2B Interface – ISO 20022

Monthly fee – single messages (delta per day or per month).

Description	Item no.	Service charged	Price in NOK	VAT
Monthly fee ISO20022 messages				
Administration of client/account	31100300	Per month	2.030,00	-
Subscription client/account information	31100310	Per month	2.030,00	-
Subscription holdings/transactions	31100320	Per month	2.030,00	-
ISO 20022 Swiftnet, Domestic	44200060	Per message	0,65	-
ISO 20022 Swiftnet, International	44200065	Per message	0,50	-

### Message type: Account Details Confirmation (ACMT)

Notice! These prices are applicable for both real time administration and subscriptions. If there is need for both, both will be invoiced. Messages are counted separately for each of the two message types.

Description	Item no.	Service charged	Price in NOK	VAT
ACMT.002	61320200			
1 - 1.000 messages		Per message	0,41	-
1.001 - 2.000 messages		Per message	0,36	-
> 2.000 messages		Per message	0,30	-
ACMT.006	61320210			
1 - 1.000 messages		Per message	0,41	-
1.001 - 2.000 messages		Per message	0,36	-
> 2.000 messages		Per message	0,30	-

## 3.6 B2B Interface – ISO 15022

Description	Item no.	Service charged	Price in NOK	VAT
MT564 Notification	42201500	Per account per ISIN	1,29	-
MT566 Confirmation	42201510	Per account per ISIN	1,60	-

## 3.7 Miscellaneous Services

### 3.7.1 Public entities

Description	Item no.	Service charged	Price in NOK	VAT
Establishment fee	21000020	Per establishment	35.525,00	Yes
Monthly fee	31220010	Per month	3.248,00	Yes

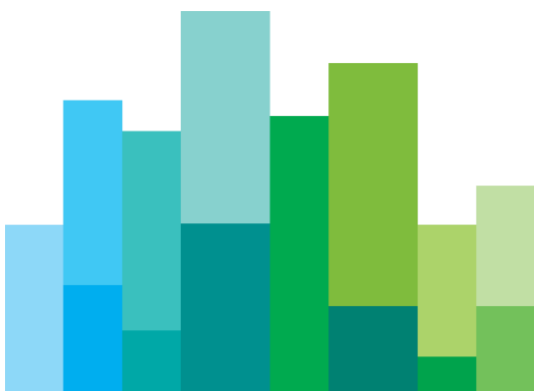
### 3.7.2 Special assignment –hourly fee

Description	Item no.	Service charged	Price in NOK	VAT
Price per hour		Per hour	1.827,00	-
Price per hour, extraordinary		Per hour	3.045,00	-

### 3.7.3 Printouts and dispatches

Description	Item no.	Service charged	Price in NOK	VAT
<b>Postage, transaction, carriage by messenger</b>	89000080- 89000095	Per transaction	Actual cost	-
Fee charged for any transaction generating dispatch via a postal service. Carriage by messenger is charged per delivery.				
<b>Information to investors</b>	63220010	Per notice	2,67 + postage	-
Distribution of information to investors enclosed with e.g. notification of change or annual tax statement.				
<b>Information to investors</b>				
Generated by account operator	61320400	Per notice	9,90 + postage	-
Generated by issuer agent or trustee	64320520	Per notice	9,90 + postage	-

# 4. SETTLEMENT



## 4.1 Settlement

### 4.1.1 Establishment fee

Description	Item no.	Service charged	Price in NOK	VAT
<b>VPO-participant (investment firm)</b> Firm participating in VPO on its own behalf as an investment firm.	22000010	Per establishment	50.750,00	-
<b>Termination of VPO participant</b>	69000490	Per termination	7.308,00	-
<b>VPO-participant (settlement agent)</b> Firm participating in VPO on behalf of others (settlement agent).	22000020	Per establishment	50.750,00	-
<b>VPO-participant as FMI</b> (CCP or CSD)	22000025	Per establishment	500.000,00	-
<b>Extended balance check</b> If, in a sale, the broker's account is short of securities, the broker's other accounts will automatically be checked to make up for the deficit.	22000070	Per establishment	15.000,00	-
<b>Sweeping</b> Sweeping means transferring any holdings on the firm's broker account at day's end to another account.	22000200	Per establishment	15.000,00	-

### 4.1.2 Monthly fees

#### VPO-participant

Payable by investment firms and settlement agents for use of the settlement system.

Description	Item no.	Service charged	Price in NOK	VAT
Price categories:				
Participants with up to 2.999 transactions	32100010	Per month	12.000,00	-
Participants with more than 2.999 transactions	32100010	Per month	27.500,00	-
<b>VPO-participant as financial infrastructure (all FMI's)</b>				
The monthly fee covers access to and use of the Euronext Securities system functionality for FMI's.				
VPO participant as CCP	32100020	Per month	35.000,00	-
VPO participant as CSD	32100070	Per month	27.500,00	-
<b>Settlement bank</b>				
The monthly fee covers access to and use of the Euronext Securities system's functionality for settlement banks.				
<b>Euronext Securities Clearing and settlement (WEB)</b>				
Additional subscription	32110100	Per month per reg.no	1.950,00	-
	32110100	Per month per reg.no	410,00	-
<b>CSDR Cost Recovery fee</b>				
The cost is based on a % of the total of all settled transactions.				
Cost Recovery fee is calculated and invoiced on a monthly basis.	42201630			-

## 4.2 Settlement Instructions

### 4.2.1 Instructions fees

VPO participants send instructions for settlement of trades. The instructions are given via WEB or are sent using the standardised ISO15022 format

Description	Item no.	Service charged	Price in NOK	VAT
<b>Settlement instruction investment firm</b> These are transactions between investment firms, not cleared through a CCP.	42200012	Per instruction	4,06	-
<b>Settlement instruction</b> Transactions between investment firm and/or settlement agents	42200010	Per instruction	4,06	-
<b>Investor transaction</b> Transactions between investment firm and investor	42200011	Per transaction	4,06	-
<b>Settlement instruction warrants</b>	42200013	Per instruction	4,06	-
<b>Settlement instruction (cleared)</b> Netted transactions between investment firm and a CCP	42200030	Per instruction	6,10	-
<b>Additional fee for late registration</b> Charged where a settlement instruction is registered on the settlement date, and intended settlement date is passed.	42200060	Per instruction	12,50	-
<b>Additional fee for partial delivery</b> A matched trade may be settled partially. The party who causes the partial delivery is charged.	42200035	Per partial delivery	4,40	-
<b>Additional fee for linked transactions</b> Sales only take place when the purchase to which it is linked is executed. Charged per transactions included in the chain	42200070	Per transaction	6,60	-
<b>Cancellation of transaction</b>	42200200	Per cancellation	6,60	-

Description	Item no.	Service charged	Price in NOK	VAT
A cancellation will simultaneously mark the transaction as rejected				
<b>Cancellation of reservation prior to settlement date</b> A broker may tag a sale to the investor as reserved. If the reservation is cancelled prior to settlement date the holding will be transferred to the investor's account	42210020	Per cancellation	6,60	-
<b>Cancellation of reservation after settlement date</b> A broker may tag a sale to the investor as reserved. On settlement date the holding is transferred to the brokers reservation account. When the reservation is cancelled the holding is transferred to the investor's account	42210025	Per cancellation	19,60	-

## 4.3 Communication - ISO messages

Description	Item no.	Service charged	Price in NOK	VAT
<b>Messages – (ISO15022)</b> Euronext Securities notifies the sender of a settlement instruction of errors and status changes using the ISO15022 format until settlement takes place	42201200	Per message	0,21	-
<b>Statements</b> Clients can subscribe to statements of holdings and transactions.	42201210	Per message	5,10	-
<b>Subscription Delta MT535/MT536/MT537</b> 1 - 1000 messages per month > 1000 messages per month	42201225			
		Per month per reg.no	1.015,00	-
		Per month per reg.no	4.670,00	-

<b>Subscription Complete-messages MT535</b>	42201220			
Daily Complete 535		Per month per reg.nr	7.612,00	-
Weekly Complete 535		Per month per reg.nr	1.270,00	-
Monthly Complete 535		Per month per reg.nr	510,00	-
Additional fee for delivery of messages from Euronext Securities via the SWIFT network	42201250	Per message	0,90	-
<b>Subscription MT535 Collateral balance</b>	42201260	Per month per reg.no	12.700,00	-
<b>Initial balance of holdings for Delta MT535</b>	42201230	Per occasion	10.300,00	-

Euronext Securities does not charge for use of the FNN or VP-nett networks.

Description	Item no.	Service charged	Price in NOK	VAT
<b>Real-time account transfers</b> Transferring a holding from one account to another account.	42200050	Per transfer	15,00 + postage	-
<b>Reversal of an account transfer</b> A change in a holding may be reversed under certain conditions.	42200055	Per reversal	15,00 + postage	-
<b>Release of a holding</b> Releasing a holding to be traded by a third party.	31230020	Per release	7,50	-
<b>Extended balance check</b> If the broker's account is short of securities, the broker's other accounts will automatically be checked to make up for the deficit.	42240015	Per transaction	6,59	-
<b>Sweeping</b> Sweeping means transferring any holdings on the firm's broker account at day's end to another account. This is to prevent holdings remaining in a broker account overnight.	42240020	Per transaction	6,59	-
<b>Deferred transaction</b> If a transaction is not settled on the intended settlement date due to lack of matching, insufficient balance etc, the transaction will be deferred until it is cancelled or settled. The responsible party is charged for the deferral from the	42220010	Per transaction Per settlement	14,50	-



first day after intended settlement date. Deferral due to lack of matching is charged only for internal transactions.

<b>Recycling Instruction</b>	42201620	Per transaction	5,00	
		Per settlement		

If a transaction is not settled on the intended settlement date due to lack of matching, insufficient balance etc, the transaction will be deferred until it is cancelled or settled. The responsible party is charged for the deferral from the first day after intended settlement date. Deferral due to lack of matching is charged only for internal transactions. Applies for transactions subject to calculations of penalty

<b>Registration of Hold</b>	42201600	Per instruction	4,00	
-----------------------------	----------	-----------------	------	--

<b>Release on Hold</b>	42201610	Per instruction	2,00	
------------------------	----------	-----------------	------	--

<b>Rejected transaction</b>	42220015	Per transaction	4,20	-
-----------------------------	----------	-----------------	------	---

A transaction is rejected if unmatched for 20 days or when cancelled.

#### Message type: Security Balance Custody Report (SEMT)

Description	Item no.	Service charged	Price in NOK	VAT
SEMT.017	61320220			
1 - 5.000		Per month	0,36	-
5.001 - 10.000		Per month	0,30	-
> 10.000		Per month	0,25	-

## 4.4 Miscellaneous Services

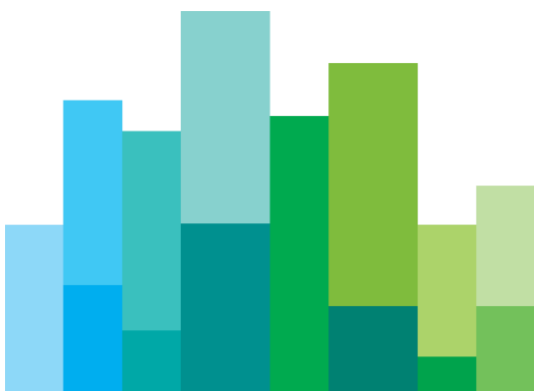
Description	Item no.	Service charged	Price in NOK	VAT
<b>Rerun of clearing process</b>	42250010	Per rerun	22.660,00	-
If insufficient funds are provided by the liquidity bank or insufficient				

Description	Item no.	Service charged	Price in NOK	VAT
guarantees are provided by the VPO participant, a new clearing operation will be needed. The cost will be charged the party which caused the rerun				
<b>Test and Training SWIFT-DEMO</b>	42201295	Per month	1.220,00	-
Monthly fee for delivery of MT messages from Euronext Securities DEMO via the SWIFT net				
<b>Transaction file</b>	42240030	Per month	By agreement	-
Individually specified file on balances and/ or transactions				

#### 4.4.1 Special assignment – hourly fee

Description	Item no.	Service charged	Price in NOK	VAT
Price per hour		Per hour	1.827,00	-
Price per hour, extraordinary		Per hour	3.045,00	-
Course fee, full day	69010010	Per day per participant	3.659,08	-
Course fee, half day		Per day per participant	2.030,00	-

# 5. TAX SERVICES



## 5.1 Investor Services

### 5.1.1 Services additional to Euronext Securities Investor Services

<b>Share Savings Account</b>	
Tax reporting from Euronext Securities on Share Savings Account	Price by agreement

### 5.1.2 Securities accounts

#### Subscription service for international tax reporting (FATCA and CRS)

Description	Item no.	Service charged	Price in NOK	VAT
1 - 5.000 accounts	64320400	Per month	1.287,50	Yes
5.001 - 15.000 accounts		Per month	3.193,00	Yes
> 15.000 accounts		Per month	5.345,70	Yes

Fees are charged for entire calendar year.

#### Reduced withholding tax

Description	Item no.	Service charged	Price in NOK	VAT
Registration of reduced withholding tax	31102060	Per registration	27,04	-
Customer documentation archive	31102050	Per document	5,41	-

### 5.1.3 Annual statement, semiannual statement, and statement of realisations

The prices include production of the statements, distribution and filing in an electronic archive.

#### Statement of gains/losses on realisations

Description	Item no.	Service charged	Price in NOK	VAT
Statement of realisations ETN, Warrants, subscription rights (electronic)	63220410	Per statement	8,76	-

Description	Item no.	Service charged	Price in NOK	VAT
Statement of realisations ETN, Warrants, subscription rights (paper)	63220420	Per statement	23,50 + postage	-
Statement of realisations ETF (electronic)	61320090	Pr. ISIN pr. acc. holder	8,76	-
Statement of realisations ETF (paper)	61320100	Pr. ISIN acc. holder	23,50 + postage	-

## 5.1.4 B2B Interface – ISO 20022

### Mass transfer of holdings to ASK accounts

Transfers are priced at 2,50 NOK per holding transferred, minimum of NOK 3.000 NOK per file. Charged in addition to the ordinary price for account transfers.

### Mass updates

Manual updates based on a customer request will be priced by agreement. The service will be invoiced as a special assignment.

## 5.2 Issuance in Euronext Securities

### 5.2.1 Reports and statistics

### 5.2.2 Tax reporting on behalf of issuers

For securities registered with Euronext Securities, Euronext Securities will perform the mandatory regulatory reporting to the Norwegian Tax Authorities on behalf of issuers. The service is invoiced based on number of holders and changes in the holders register.

Description	Item no.	Service charged	Price in NOK	VAT
<b>Tax reporting – Basic fee</b>	33000400			
1 - 100 holders		Per month	155,00	-
101 - 1.000 holders		Per month	380,00	-
> 1.000 holders		Per month	740,00	-
<b>Tax reporting – Changes in holders register</b>	33000410	Per change	0,17	-

## 5.3 Corporate Actions

### 5.3.1 Services to handle dividend from US sources

Description	Item no.	Service charged	Price in NOK	VAT
Access to system tools for account operators who report to US tax authorities			By agreement	-



**EURONEXT SECURITIES**

[euronext.com/post-trade](https://euronext.com/post-trade)